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10/040,608	12/28/2001	Doron Orenstien	P10720	2677

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EXAMINER

THAI, TUAN V

ART UNIT

PAPER NUMBER

2186

DATE MAILED: 06/15/2004

4

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/040,608

Applicant(s)

ORENSTIEN ET AL.

Examiner

Tuan V. Thai

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 22 April 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

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**Part III DETAILED ACTION**

***Response to Amendment***

1. This office action is in response to Applicant's communication filed April 22, 2004. This amendment has been entered and carefully considered. Claims 12 and 20 have been cancelled. Claims 1-11, 13-19 and 21-30 remain pending in the application.

2. The objection of claim 4 under 35 CFR § 1.75 is hereby withdrawn due to amendment filed April 22, 2004.

3. Applicant's arguments with respect to claims 1-30 have been considered but are not deemed to be persuasive.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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5. Claims 1-11, 13-19 and 21-30 are rejected under 35 U.S.C. § 102(b) as being anticipated by Hewitt et al. (USPN: 6,085,330); hereinafter Hewitt.

As per claims 1, 13, 21 and 27; Hewitt discloses the invention as claimed including method for accessing a cache and a computer system comprising processor 102 comprising an L1 cache 104 with a first and second bus interface (e.g. see figure 1); a high power bus 110 (e.g. see figure 1), a low power bus 114 (e.g. see figure 1); a high power bus interface coupled to the high power bus 110 is taught as north bridge 108 coupled to high power bus 110, and south bridge 116 as the low power bus interface coupled to the low power bus 114 (e.g. see figure 1; column 3, lines 43 et seq.); it should be further noted that the lower power bus 114 (PCI bus) is known be narrower than the system bus 110 wherein Hewitt further discloses low power interface coupled to the low power bus 114 having clock line as clock signal STPCLK# connected directly to the processor 102 for generating a stop processor signal STPCPU# on a line that is connected to the system PLL 120 (e.g. see column 3, lines 55 et seq.); the further limitation of a controller (system PLL 120, north bridge 108, and south bridge 116) to communicate with the processor 102 via the high power bus during a high power mode of operation and to communicate with the processor 102 via the low power bus during

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a low power mode of operation is taught by Hewitt to the extent that it is being claimed; for example, Hewitt clearly discloses the L1 cache memory 104 can be accessed via various buses (e.g. see column 2, lines 5-6), typically host/high-power bus 110 and PCI/low-power bus 114; wherein the cache is normally snooped during fully-operational C0 thru host bus 110; when in C2 state which is a low state but also a state in which the PCI cycle is allowed to snoop the internal cache 104 via PCI bus 114 (normal protocol) (e.g. see column 4, lines 35 et seq.). Hewitt further discloses the north bridge 108 and south bridge 116 coupled to the system PLL 120 thru the host bus 110; wherein it can be seen from figure 1 that the host bus is implemented as parallel bus connected to multiple devices including L2 external cache 106 and CPU 102 and other devices (e.g. see also column 3, lines 39 et seq.); wherein the PCI bus 114 is a single line data bus connected to the south bridge 116 and ISA bus 118 (e.g. see figure 1);

As per claim 2, Hewitt discloses the first bus as being equivalent to the host/high power bus 110 coupled to the north bridge 108, and the second bus as the PCI bus 114 coupled to the south bridge 116 (e.g. see figure 1); it is further known and inherent in the art that the secondary bus 114 is commonly implemented to be smaller than the primary bus or host bus 110;

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As per claims 3 and 4, Hewitt discloses system memory 112, peripheral devices (bus master, various devices; column 2, line 5); the peripheral device to request an access of the main memory via the controller (e.g. see column 2, lines 7 et seq.);

As per claim 5, Hewitt discloses the first mode (C0) of operation is a high power mode (fully operational state); and the second mode of operation (C2) is a low power mode wherein CPU cache can still be snooped (e.g. see column 1, lines 36 et seq.);

As per claim 6, Hewitt discloses during the low power mode of operation, the stop clock signal STPCLK# is generated by South Bridge 116 connected directly to the CPU 102 for generating a stop processor signal STPCPU# on a line that is connected to the system PLL 120 wherein CPU 102 and northbridge 108 are powered down (e.g. see column 3, lines 55 et seq.);

As per claims 7 and 8, Hewitt discloses the clock generator for providing the first clock signal/second clock signal for timing operation of the processor (e.g. see column 7, lines 38 et seq.; column 8, lines 23 et seq.); STPCLK# clock signal and STPCPU# signal for first and second mode of operation (e.g. see column 5, lines 37 et seq.);

As per claim 9, the further limitation of the phase-locked-loop within processor, and the second clock signal is routed through the phase-lock-loop before being provided to the cache

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during the low-power mode of operation (e.g. see column 3, lines 32 et seq.; column 6, lines 1 et seq.);

As per claim 10; see argument with respect to claim 2; in addition, it is inherent that high power bus consumes more power during the high power mode of operation than during the low power mode of operation;

As per claim 11, Hewitt discloses the north bridge 108 and south bridge 116 coupled to the system PLL 120 thru the second bus as the PCI 114 (e.g. see figure 1); wherein it is inherent that the PCI bus 114 having clock line, data line and control line;

As per claim 14, Hewitt discloses system memory 112, peripheral devices (bus master, various devices; column 2, line 5); the peripheral device to request an access of the main memory via the controller (e.g. see column 2, lines 7 et seq.);

As per claim 15, Hewitt discloses the first mode (C0) of operation is a high power mode (fully operational state); and the second mode of operation (C2) is a low power mode wherein CPU cache can still be snooped (e.g. see column 1, lines 36 et seq.); wherein during the low power mode operation (C2), the signaling circuit allows a grant of the PCI Bus 114 by the north bridge 108 (idling of the high power bus 110) to enter low power mode operation (e.g. see column 5, lines 61 et seq.);

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As per claim 16, Hewitt discloses the first mode (C0) of operation is a high power mode (fully operational state) in which the local cache can be snooped for change in data update; and the second mode of operation (C2) is a low power mode wherein CPU cache can still be snooped (e.g. see column 1, lines 36 et seq.);

As per claims 17 and 18; Hewitt discloses the clock generator for providing the first clock signal/second clock signal for timing operation of the processor (e.g. see column 7, lines 38 et seq.; column 8, lines 23 et seq.); STPCLK# clock signal and STPCPU# signal for first and second mode of operation (e.g. see column 5, lines 37 et seq.);

As per claim 19; the further limitation of a power supply to provide a lower voltage supply to the processor during the low power mode of operation than during the high power mode of operation is taught by Hewitt since Hewitt clearly discloses that the power consumption during the (C2) state (low power state) is about 10% of the high power (C0) state (e.g. see column 1, lines 50 et seq.);

As per claim 22, Hewitt discloses during the low power mode of operation, the stop clock signal STPCLK# is generated by South Bridge 116 connected directly to the CPU 102 for generating a stop processor signal STPCPU# on a line that is connected to the system PLL 120 wherein CPU 102 and northbridge 108 are powered



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down (e.g. see column 3, lines 55 et seq.);

As per claim 23, Hewitt further discloses the first bus as being equivalent to the host/high power bus 110 coupled to the north bridge 108, and the second bus as the PCI bus 114 coupled to the south bridge 116 (e.g. see figure 1); in addition, it is known and inherent in the art that the secondary bus 114 is commonly implemented to be smaller than the primary bus or host bus 110;

As per claim 24, Hewitt discloses the low power bus 114 coupled to the south bridge 116, ISA bus 118 and system PLL 120, which provides higher supports for source-synchronizations operation than that of the host/high-power bus 110 (e.g. see figure 1);

As per claim 25, Hewitt discloses the first and second phase-locked-loop within processor to provide the clock signals to the cache memory regions during the high and low power mode (e.g. see column 3, lines 32 et seq.; column 6, lines 1 et seq.);

As per claim 26, a memory bus interface is taught and embedded within the system of Hewitt (e.g. see figure 1);

As per claim 28, Hewitt discloses that to enter the low power mode, (C2) state, the PCI REQ# request signal is asserted; at the same time the high power bus 110 is known to be powered down (e.g. column 3, line 55 bridging column 4, line 41);

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As per claim 29, the further limitation of snooping the cache via the low power bus including providing a clock signal to the cache via the low power bus is being equivalent to the process of de-asserting the STPCPU# signal to allow snooping of the internal cache 104 when in the low power mode (e.g. see column 4, lines 31 et seq.);

As per claim 30, Hewitt discloses that in response to the signal for entering the low power mode, the processor 102 flushes instructions which known to be in the cache 104, completes all pendings and in-progress bus cycles, stops the processor internal clock and enters the stop clock state if system logic stops the bus clock CLK (e.g. see column 3, line 64 bridging column 4, line 1);

6. As per remark, Applicant's counsel contended that (a) "Hewitt, however, does not disclose nor suggest the claimed snooping a cache via a high power parallel bus during a high power mode of operation; snooping the cache via a low power serial bus having a single data line during a low power mode of operation (page 10, first paragraph).

With respect to (a) First of all, Examiner would like to emphasize that Hewitt clearly discloses the two modes of operation wherein the first mode (C0) of operation is a high

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power mode (fully operational state) in which the local cache can be snooped for change in data update; and the second mode of operation (C2) is a low power mode wherein CPU cache can still be snooped (e.g. see column 1, lines 36 et seq.). In addition, the snooping of a cache via a high power parallel bus during a high power mode of operation; and snooping the cache via a low power serial bus having a single data line during a low power mode of operation is taught by Hewitt; for example, noting that the L1 cache memory 104 can be accessed via various buses (e.g. see column 2, lines 5-6), typically host/high-power bus 110 and PCI/low-power bus 114; wherein the cache is known to be snooped during fully-operational C0 thru host bus 110 (or high power bus); when in C2 state which is a low state but also a state in which the PCI cycle is allowed to snoop the internal cache 104 via PCI bus 114 (normal protocol) (e.g. see column 4, lines 35 et seq.). Hewitt further discloses the north bridge 108 and south bridge 116 coupled to the system PLL 120 thru the host bus 110; wherein it can be seen from figure 1 that the host bus is implemented as parallel bus connected to multiple devices including L2 external cache 106 and CPU 102 and other devices (e.g. see also column 3, lines 39 et seq.); wherein the PCI bus 114 is a single line data bus connected to the south bridge 116 and ISA bus 118 (e.g. see figure 1).

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7. Applicant's arguments filed April 22, 2004 have been fully considered but they are not deemed to be persuasive.

8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 C.F.R. § 1.136(a).

A SHORTENED STATUTORY PERIOD FOR RESPONSE TO THIS FINAL ACTION IS SET TO EXPIRE THREE MONTHS FROM THE DATE OF THIS ACTION. IN THE EVENT A FIRST RESPONSE IS FILED WITHIN TWO MONTHS OF THE MAILING DATE OF THIS FINAL ACTION AND THE ADVISORY ACTION IS NOT MAILED UNTIL AFTER THE END OF THE THREE-MONTH SHORTENED STATUTORY PERIOD, THEN THE SHORTENED STATUTORY PERIOD WILL EXPIRE ON THE DATE THE ADVISORY ACTION IS MAILED, AND ANY EXTENSION FEE PURSUANT TO 37 C.F.R. § 1.136(a) WILL BE CALCULATED FROM THE MAILING DATE OF THE ADVISORY ACTION. IN NO EVENT WILL THE STATUTORY PERIOD FOR RESPONSE EXPIRE LATER THAN SIX MONTHS FROM THE DATE OF THIS FINAL ACTION.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tuan V. Thai whose telephone number is (703) 305-3842. The examiner can normally be reached on from 6:30 A.M. to 4:00 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mathew M. Kim can be reached on (703)-305-3821. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status

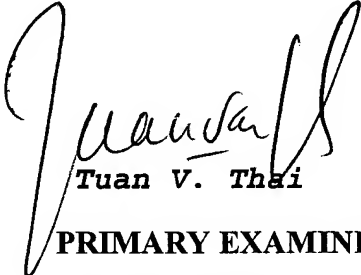
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information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

TVT/June 12, 2004

  
Tuan V. Thai  
PRIMARY EXAMINER  
Group 2100